

## SURFACE MINING INSPECTION REPORT

(See reverse side of each form page for completion instructions)

II. Mine Name:
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III. Mine Operator:		
Mailing Address:		
City:	State:	ZIP Code:
Name of Onsite Contact Person:	Email Address:	Telephone: ( )

IV. SMARA Lead Agency Name:		
Inspector's Name:		
Name of Entity or Organization:		
Inspector's Mailing Address:		
City:	State:	ZIP Code:
E-mail Address:	Telephone: ( )	

V. Approved Documents	
Permit # <u>Number</u> : <input type="checkbox"/> Not Applicable	Expiration Date, if applicable:
Vested Right: <input type="checkbox"/> Not Applicable	Date of Lead Agency determination:
Reclamation Plan # <u>Number</u> :	Date Approved:
Interim Management Plan <input type="checkbox"/> Not Applicable <input type="checkbox"/> 4 <sup>th</sup> Initial <input type="checkbox"/> 2 <sup>nd</sup> 1 <sup>st</sup> Renewal <input type="checkbox"/> 3 <sup>rd</sup> 2 <sup>nd</sup> Renewal	Date Approved:

VI. Is this operation located partly or solely on Federal land? Check One:		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Are there any Federal authorizations associated with this operation? Check One: If so please explain:		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Inspecting Agency Code(s):	Reason for Inspection:		
Land Use Designation/Zoning for Surface Mine Operation:			

# INSTRUCTIONS FOR COMPLETING SURFACE MINING INSPECTION REPORT

## Form MRRC-1 (9/18) Page 1

This report form is intended to comply with the requirements of California's Surface Mining and Reclamation Act of 1975 (SMARA – Public Resources Code §§ 2710 et seq., and the associated California Code of Regulations found in Title 14, Division 2, commencing with § 3500, hereinafter respectively "PRC" or "CCR") and specifically PRC § 2774(b) and CCR § 3504.5 for surface mining operations (operation).

BLOCK I: Enter the date when the inspection was conducted and the California Mine ID number.

BLOCK II: Enter the name of the mine as indicated on the Annual Report.

BLOCK III: Enter the name and mailing address of the Mine Operator (Operator), the name of the person serving as the onsite contact, their email address and phone number.

BLOCK IV: For "Lead Agency," enter the name of the SMARA Lead Agency that is conducting this inspection, the name of the inspector and contact information. For "Entity or Organization," enter the name of the entity or organization that employs the inspector.

BLOCK V: Enter information with respect to the currently approved reclamation plan, permit and/or vested right and Interim Management Plan. Check Not Applicable as appropriate. In cases where a Reclamation Plan Number has not been assigned by the lead agency, enter any unique lead agency assigned identifier for the approved reclamation plan.

BLOCK VI: Indicate if the operation is located on federal Land.

### Inspecting Agency Codes: (can choose multiple)

Please identify any government entities or agencies, other than the Lead Agency noted above, that accompanied the inspection.

None	=	None	DFW	=	Department of Fish and Wildlife
BLM	=	Bureau of Land Management	NPS	=	National Park Service
USFS	=	U. S. Forest Service	DOC	=	Department of Conservation
RB	=	Regional Water Quality Control Board	O	=	Other (List Agency)

### Reason for Inspection: (can choose multiple)

AI	=	Annual Inspection	RE	=	Re-inspection
PC	=	Public Concern	O	=	Other Reason
OA	=	Other Agency Request	AR	=	Acknowledgement of Reclamation

Provide the land use designation or the zoning information for the parcels on which the mined lands are located.

### DISTRIBUTION INSTRUCTIONS:

The Lead Agency shall forward to the Operator a copy of the Notice of Completion of Inspection, the completed Inspection Report, and any other supporting documentation (PRC § 2774(b)(2)).

The Lead Agency shall retain the original copy of the Inspection Report and submit one copy of this Inspection Report, along with the Notice of Completion of Inspection (PRC § 2774(b)(1)), within 90-days of conducting the inspection, to:

Department of Conservation  
Division of Mine Reclamation  
801 K St MS 09-06 Sacramento, CA 95814-3529

If any part of the operation or mined lands inspected is on Federal land, one copy of this Inspection Report and Notice of Completion of Inspection shall be forwarded to the appropriate Federal entity, i.e. the BLM or USFS regional office.

I. Date Inspection Conducted:	CA MINE ID # <u>Number</u> :
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## SURFACE MINING INSPECTION REPORT

<b>VII. Financial Assurances</b>	
<b>A. Information on Financial Assurance Cost Estimate</b>	
Date and Amount of <del>Currently</del> <u>Most Recently</u> Approved Financial Assurance Cost Estimate	
Date:	Amount: \$
<input type="checkbox"/> Other Information?	Explanation:

<b>B. Information on Financial Assurance Mechanism(s)</b>				
Type of Financial Assurance Mechanism(s):	Financial Assurance Mechanism Number(s):	Amount of Mechanism:	Date of Expiration:	Date of Approval by the Lead Agency:
Total Amount of Mechanism(s):				
Has there been a change of operator since last inspection? If yes provide the date of notice. <input type="checkbox"/> Yes <input type="checkbox"/> No				
Date of Change:				

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BLOCK VII: "Financial Assurances" consist of a current approved Financial Assurance Cost Estimate and a Financial Assurance Mechanism that is at least equal to the current approved Financial Assurance Cost Estimate (PRC § 2736).

The Financial Assurance amount must be reviewed and approved once each calendar year to account for new lands disturbed by surface mining operations and lands to be disturbed in coming year, inflation, and reclamation of lands accomplished in accordance with the approved Reclamation Plan (PRC § 2773.1(a)(3) and the State Mining and Geology Board (SMGB) Financial Assurance Guidelines). In order to determine what adjustments, if any, are appropriate to the Financial Assurance Mechanism amount, each mine operator must submit once each calendar year a revision of the written Financial Assurance Cost Estimate to the Lead Agency (CCR § 3804(c)). An Operator shall provide an updated annual Financial Assurance Cost Estimate to the Lead Agency for review within 30-days of conducting of the annual inspection (PRC § 2773.4(d)(1)(A)). Provide the date and amount of the currently approved Financial Assurance Cost Estimate.

Use the Financial Assurance "Other" and "Explanation" blocks to provide any other pertinent information regarding the status of Financial Assurance(s). If the operation does not have a current Financial Assurance Cost Estimate and/or Financial Assurance Mechanism, explain in detail. A Financial Assurance Cost Estimate is considered current if it has been approved in accordance with applicable requirements of PRC § 2773.4 within the current or previous calendar year.

Type of Financial Assurance Mechanism(s): Fill in the type of mechanism(s) that are on file. CCR §3803 and the SMGB Financial Assurance Guidelines describe Surety Bonds, Trust Funds, or Irrevocable Letters of Credit as acceptable financial assurance mechanisms for non-governmental entity operators. For surface mining operations owned and operated by state and local government entities, Surety Bonds, Trust Funds, Irrevocable Letters of Credit, Pledges of Revenue, and a Budget Set Aside are acceptable financial assurance mechanisms.

State the Financial Assurance Mechanism(s) document number(s). State the dollar amount of each Financial Assurance Mechanism(s) currently on file. State the date of expiration of the Financial Assurance Mechanism(s) currently on file. State the date of approval for the most recent lead agency approved Financial Assurance Mechanism(s) on file. State the total dollar amount of mechanisms held for reclamation of mined lands.

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VIII. Non-SMARA facility operations conditions solely of local concern (e.g. hours of operation) do not need to be noted here. See Instructions for Block VIII on reverse side of page.

**(Use separate sheet(s) where necessary. Refer to item numbers below)**

Potential Reclamation Plan Requirements	List Reclamation Plan Requirements (Recommended to be filled out prior to field inspection)	Note current site observations. Describe site conditions and aspects of the operation that are or may be inconsistent with the reclamation plan or SMARA. (Note additional comments on Section IX as necessary)
<b>1) General Information</b>		
a) Approved mineral type(s)		
b) Approved production amount (Annual/Gross)		
c) Termination date of operations		
d) Permit end date		
e) Anticipated/approved use of mined lands after reclamation		
f) Description of pre-SMARA disturbances, if any		
<b>2) Boundaries</b>		
a) Property boundary		
b) Permit boundary		
c) Reclamation plan boundary (RPB)		
d) Setbacks		
<b>3) Slopes – Grading</b>		
a) Fill Slopes –		
i) Slopes – Active (max/current)		
ii) Slopes – Reclaimed		
iii) Compaction		
b) Cut Slopes –		
i) Slopes – Active (max./current)		
ii) Slopes – Reclaimed		
<b>4) Erosion Control</b>		
a) Best management practices (BMPs)		
b) Grading		
c) Vegetation		
<b>5) Ponds</b>		
a) Design – Function		
b) Capacity (area/depth/volume)		
c) Maintenance		
<b>6) Stream &amp; Wetland Protection</b>		
a) Buffers (distance to channel)		
b) Berms (distance/length/height)		
c) BMPs		
d) Drainage		
e) Grading and slopes		
f) Stockpiles		
g) Stream diversions		
<b>7) Sensitive Wildlife &amp; Plant Protection</b>		
a) List species		
b) Protection measures		

# INSTRUCTIONS FOR COMPLETING SURFACE MINING INSPECTION REPORT

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### BLOCK VIII: INSTRUCTIONS FOR EACH DATA COLUMN:

**Potential Reclamation Plan Requirements** (Column 1): Under PRC § 2772 and CCR §§ 3501, 3502, and as described in the Guidance Document for Surface Mine Inspectors in CCR §3504.6 inspections may include the following: the operation's horizontal and vertical dimensions, volumes of materials stored on the site; slope angles of stock piles, waste piles and quarry walls; effects of sidecasting and potential geological hazards; equipment and other facilities; samples of materials; photographic or other electronic images of the operation; any measurements or observations deemed necessary by the inspector or the lead agency to ensure the operation is in compliance with SMARA, and specifically the approved reclamation plan. Column 1 provides a list of items that may be included in the approved reclamation plan, either expressly or by reference as described in PRC §§ 2772 and 2772.1, which may include conditions of approval, other permit requirements and supplementary documents, including environmental documents, prepared for the project pursuant to the California Environmental Quality Act (CEQA) (Division 13 (commencing with Section 21000)).

It is not expected that all reclamation plans will include each item of Section VIII, or be limited to the items listed. Items in Column 1 that are not in the reclamation plan may not need to be addressed in the inspection. In instances where the reclamation plan lacks specific details for the reclamation of mined lands, the inspector may need to consider if additional reclamation requirements or specifics are needed to achieve the proposed use after reclamation. Reclamation plan requirements not listed in Items 1 through 12 may be listed in Item 13, under "Other Reclamation Plan Requirements."

**Reclamation Plan Requirements** (Column 2): Prior to conducting the field inspection, the inspector must review the approved reclamation plan, any amendments, and other reclamation requirements as part of a permit application for the operation that were used to satisfy the requirements of PRC §§ 2772(c), 2773 and 2773.3 and Article 1 (commencing with § 3500) and Article 9 (commencing with § 3700) of Subchapter 1 of Chapter 8 of Division 2 of Title 14 of the California Code of Regulations and any approved modifications contained in permit conditions of approval (COA) or binding mitigation measures adopted pursuant to the CEQA included by reference in the approved reclamation plan pursuant to PRC §§ 2772(d) and 2772.1(b). The most recently approved Financial Assurance Cost Estimate and any pending or ongoing enforcement actions should also be reviewed. Conditions of Approval (COA) that relate to facility operations solely of local concern, such as hours of operation, noise, and dust control are not subject to the inspection.

Column 2 is intended to provide the inspector a place to match any items noted in Column 1 with those items included in the approved reclamation plan either expressly or by reference, as described above, in PRC §§ 2772 and 2772.1. Also note any Interim Management Plan (IMP) requirements where the mine is subject to an IMP pursuant to PRC § 2770(h).

Indicate the source document for the reclamation plan requirements at the end of the entry in parenthesis; i.e. (COA) Plan of Operations (POO), Environmental Impact Report (EIR), Waste Discharge Report (WDR), Stormwater Pollution Prevention Program (SWPPP), etc. If items listed in Column 1 of Section VIII of the form are not included in the reclamation plan or other documents included by reference, write not applicable or "NA" in Column 2.

Specific reclamation requirements may not apply to an operation at the time of inspection, but they are important to ensure current activity at the site will not prohibit reclamation in accordance with the approved reclamation plan.

**Site Observations and Compliance Issues** (Column 3): Use this space to note current site observations and to describe site conditions and aspects of the operation that are or may be inconsistent with the approved reclamation plan or SMARA, if any, noted for both operating and reclaimed surfaces that pertain to the reclaimed condition of mined lands.

Site conditions that are or may be inconsistent with SMARA include unanticipated but actual conditions encountered as the mining operation progresses, for which mitigation measures were not fully addressed in the reclamation plan and which affect any aspect of reclamation or calculation of the FACE, as described in the approved reclamation plan.

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VIII. Non-SMARA facility operations conditions solely of local concern (e.g. hours of operation) do not need to be noted here. See Instructions for Block VIII on reverse side of page.

**[Use separate sheet(s) where necessary. Refer to item numbers below]**

Potential Reclamation Plan Requirements:	List Reclamation Plan Requirements (Recommended to be filled out prior to field inspection)	Note current site observations. Describe site conditions and aspects of the operation that are or may be inconsistent with the reclamation plan or SMARA. (Note additional comments on Section IX as necessary)
<b>8) Soil/Overburden Stockpile Management</b>		
a) Topsoil		
i) Location		
ii) Slope stability		
iii) BMPs		
b) Overburden		
i) Location		
ii) Slope stability		
iii) BMPs		
c) Topsoil Application		
i) Amendments		
ii) Depth		
iii) Moisture		
iv) Application methods		
<b>9) Revegetation</b>		
a) Test plots		
b) Species mix		
c) Density		
d) Percent cover		
e) Species richness		
f) Protection		
g) Success monitoring		
h) Invasive species control		
<b>10) Structures</b>		
<b>11) Equipment</b>		
<b>12) Closure of Adits</b>		
<b>13) Other Reclamation Plan Requirements</b>		

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IX. Use this space to describe general observations and sketches of the operation:

Additional sheets/documents/sketches attached: ☐ Yes ☐ No

Additional observations/sheets/documents/sketches/photographs attached?: ☐ Yes ☐ No Photographs attached: ☐ Yes ☐ No

**Describe areas of the operation that have been disturbed since the last inspection:**

Approximate total disturbed acreage since last inspection:

Approximate total acreage of mined lands:

**Describe areas of the operation that have been reclaimed since the last inspection:**

Approximate reclaimed acreage since last inspection:

Approximate total reclaimed acreage:

**Describe areas where the operator plans to conduct operations in the upcoming year:**

**Describe the extent of mined lands with respect to the permitted/approved reclamation plan boundaries:**

**Is the fee category reported in the most recent Annual Report consistent with the conditions observed during the inspection?**

☐ Yes

☐ No, please describe:

**Describe any limitations encountered during the inspection:**

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BLOCK IX For "Duration of Inspection," indicate the start and end times of the inspection (do not include travel time).

Weather Codes: CR = Clear; CL = Cloudy; RN = Rain; SN = Snow; WD = Windy

SMARA Status of Mine Codes (based on annual report and reported production under CCR § 3695, indicate the appropriate status code):

NP = Newly Permitted (surface mining operation not begun)

Active = Operation Not Idle (Per § 2727.1)

I = Idle (Per § 2727.1)

AB = Abandoned (Per § 2700 (h)(6))

Attendees: Provide the names and affiliations of parties in attendance at the inspection.

BLOCK X: Provide the Inspector's "Certificate of Completion of Inspection Workshop" Number and expiration date. Sign and date the Inspection Report. If applicable, include the inspector's certification (Professional Engineer (PE), Professional Geologist (PG), Licensed Landscape Architect, Registered Professional Forester, Professional Land Surveyor (PLS), etc.) and license number. The lead agency shall cause an inspection to be conducted by a state-licensed geologist, state-licensed civil engineer, state-licensed landscape architect, or state-licensed forester, or a qualified lead agency employee pursuant to PRC § 2774(b)(1).

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## SURFACE MINING INSPECTION REPORT

Please describe conditions or aspects of the operation that are or may be inconsistent with the approved reclamation plan or SMARA:  <div style="margin-left: 20px;">           1.             2.             3.             4.         </div>	
Do any of those conditions or aspects require evaluation by a state-licensed professional or specialist? <input type="checkbox"/> No, please describe: <input type="checkbox"/> Yes, please describe:	
Was a Notice of Violation issued for any of the above? <input type="checkbox"/> No, please describe: <input type="checkbox"/> Yes, please describe:	
Describe remedial activities for any pre-existing or existing enforcement actions:	
Duration of Inspection Start: _____ Finish: _____	
Weather Codes:	Status of Mine Code:
Inspection Attendees and Affiliations:	

X. Inspector's "Certificate of Completion of Inspection Workshop" Number:	Inspectors Signature:	If the inspector is a State-licensed person or a contractor for the lead agency, provide license type and number:
Certification Expiration Date:	Date Signed:	